

Andersen Corporation – 2015 GRI G4 index

GENERAL STANDARD DISCLOSURES

Strategy and Analysis

| REF. | DESCRIPTION | LINK | RESPONSE/NOTES | OMISSIONS |
|------|---|----------------------|----------------|-----------|
| G4-1 | Statement from the most senior decision maker | Link | | |
| G4-2 | Key impact, risks and opportunities | Link | | |

Organizational Profile

| REF. | DESCRIPTION | LINK | RESPONSE/NOTES | OMISSIONS |
|-------|--|----------------------|---|-----------|
| G4-3 | Name of organization | | Andersen Corporation. | |
| G4-4 | Primary brands, products and services | | Andersen Corporation (Andersen) manufactures windows, patio doors and storm doors under multiple brands, including the following: Andersen®, Renewal by Andersen®, Weiland®, EMCO®, American Craftsman® and Silver Line®. | |
| G4-5 | Location of headquarters | | Bayport, Minn. | |
| G4-6 | Countries in which organization has significant operations | | United States and Canada. | |
| G4-7 | Nature of ownership and legal form | | Privately owned. | |
| G4-8 | Markets and sectors served | | Building products markets in United States, Canada and some international. | |
| G4-9 | Scale of the organization | | 17 manufacturing facilities; more than \$2 billion in sales. | |
| G4-10 | Number of employees | | 10,620. | |
| G4-11 | Employees covered by collective bargaining agreements | | 16.7 percent of total employees are covered by a collective bargaining agreement. | |
| G4-12 | Organization's supply chain | Link | Andersen receives materials, principally for use in fabricating and assembling windows and doors. Andersen has approximately 380 direct material suppliers providing glass, wood, | |

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|-------|---|----------------------|---|--|
| | | | <p>thermoplastics, hardware, industrial coatings, sealants, grilles, screens, and insulated glass spacer systems.</p> <p>94% of suppliers are in the United States; 2.2% of suppliers are in Mexico; 3.3% of suppliers are in Asia; and 0.5% of suppliers are in Europe or Russia.</p> <p>Andersen’s direct material suppliers are best described as OEM Suppliers. In 2015, Andersen spent \$872 million dollars with direct material suppliers. The majority of our suppliers use a high percentage of automation, with manual labor supporting operations where necessary.</p> | |
| G4-13 | Changes to organization’s structure, ownership, or supply chain | | No major changes in 2015. | |
| G4-14 | Precautionary approach | | Andersen has not formally adopted the precautionary approach or principle within our organization. Please see G4-PR1 for more information about how we mitigate human health and safety risks in our product development process. | |
| G4-15 | Economic, environmental or social charters, principles or other initiatives | Link | Andersen was one of the first companies in the window and door industry to receive Forest Stewardship Council® (FSC®) chain-of-custody certification. This certification means that wood-based components are sourced from forests that are managed according to FSC criteria to produce wood while maintaining the biodiversity of the forest. | |
| G4-16 | Memberships of associations or advocacy organizations | | Andersen is a member of the following associations: (1) U.S. Green Building | |

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|--|--|--|--|--|
| | | | <p>Council (USGBC); (2) Window and Door Manufacturers Association (WDMA); (3) National Fenestration Rating Council (NFRC); (4) Environmental Protection Agency (EPA) Smart Way; (5) Alliance to Save Energy; (6) ASTM International; (7) Environmental Initiative; (8) Minnesota Waste Wise; (9) National Association of Corporate Directors; (10) Greater MSP; (11) Fenestration Manufacturers Association (FMA); (12) International Code Council (ICC); (13) American Architectural Manufacturers Association (AAMA); (14) The Joint Center for Housing Studies at Harvard University; (15) Forest Stewardship Council; and (16) Minnesota Sustainable Growth Coalition.</p> | |
|--|--|--|--|--|

Identified Material Aspects and Boundaries

| | | | | |
|-------|---|----------------------|--|--|
| G4-17 | Entities included in the organization's consolidated financial statements | | This report covers all Andersen corporate owned and leased facilities in North America. | |
| G4-18 | Process for defining report content and aspect boundaries | Link | | |
| G4-19 | Material aspects identified in the defining report content process | Link | | |
| G4-20 | Aspect boundary within the organization | Link | | |
| G4-21 | Aspect boundary outside the organization | Link | | |
| G4-22 | Restatement of information | | Our 2014 Corporate Sustainability Report inadvertently omitted "EMCO®" from disclosure G4-4: Primary Brands, Products and Services. It has been added above. | |
| G4-23 | Changes in scope or aspect boundaries from previous reports | | None. | |

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Stakeholder Engagement

| | | | | |
|-------|--|----------------------|--|--|
| G4-24 | Stakeholder groups engaged by organization | Link | | |
| G4-25 | Basis for identification and selection of stakeholders to engage | Link | | |
| G4-26 | Approach to stakeholder engagement | Link | | |
| G4-27 | Key topics and concerns raised through stakeholder engagement | Link | | |

Report Profile

| | | | | |
|-------|-------------------------------------|----------------------|---|--|
| G4-28 | Reporting period | | 2015 | |
| G4-29 | Date of most recent previous report | | Andersen Corporation's 2014 Corporate Sustainability Report was published on July 23, 2015. | |
| G4-30 | Reporting cycle | | 12 month, calendar year. | |
| G4-31 | Contact point for questions | | Eliza Clark, Director of Sustainability and Environmental eliza.clark@andersencorp.com | |
| G4-32 | In accordance option | Link | | |
| G4-33 | External assurance policy | Link | | |

Governance

| | | | | |
|-------|----------------------|--|--|--|
| G4-34 | Governance structure | | Andersen Corporation is a privately held company. The chief governing body is the Board of Directors, which is chaired by Jay Lund, Chairman and Chief Executive Officer, and includes one other executive. In addition, the 13-person board includes nine independent directors and two Andersen family descendants. All shareholders are invited to participate in the annual meeting. | |
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Ethics and Integrity

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|-------|--|----------------------|---|--|
| G4-56 | Organization’s values, principles, standards and norms of behavior and mechanisms for seeking advice | Link | At Andersen, we continually strive to do the right thing, the right way, at the right time and for the right reason. Every employee is expected to follow the guidelines outlined in our Code of Ethical Business Conduct. Our Code reinforces the importance of maintaining strong relationships with everyone who has a stake in our success. | |
|-------|--|----------------------|---|--|

SPECIFIC STANDARD DISCLOSURES

Category: Economic

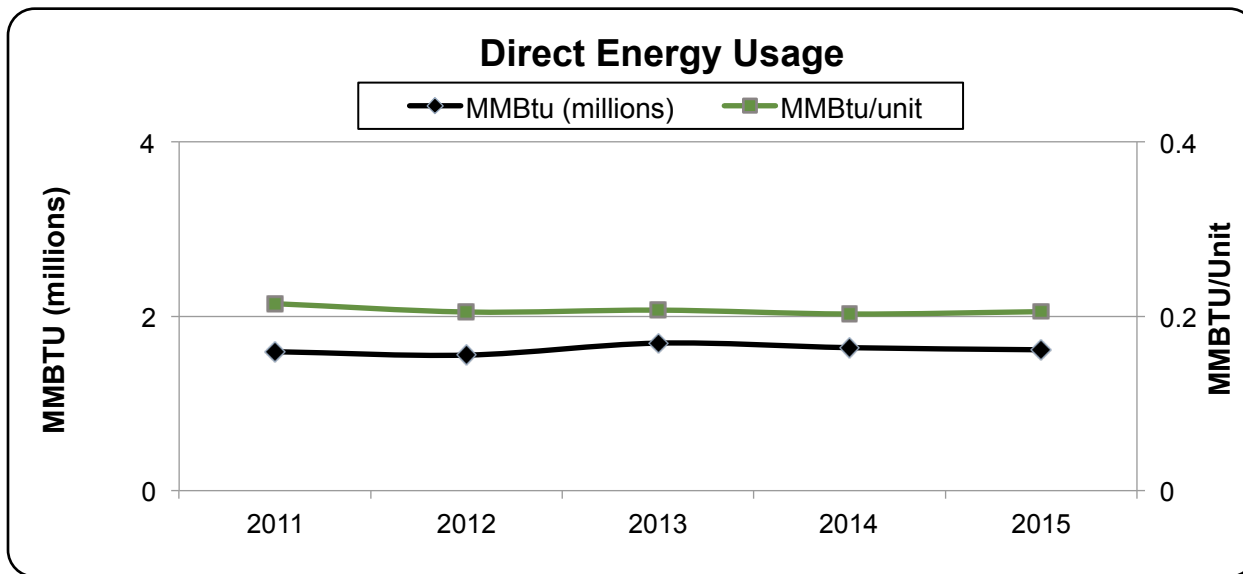
| REF. | DESCRIPTION | LINK | RESPONSE/NOTES | OMISSIONS |
|--------|---|----------------------|--|-----------|
| DMA | Disclosure of Management Approach | Link | | |
| G4-EC2 | Financial implications and risks due to climate change | Link | Climate change has not yet been identified as a key financial risk to our business. However, as a signatory to the Ceres Climate Declaration, we recognize that climate change poses financial risks to industries and regions around the world. We will continue to monitor this risk and its business implications as part of our risk assessment process. | |
| G4-EC7 | Development and impact of infrastructure investments and services supported | Link | \$3,448,825 | |

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Category: Environmental

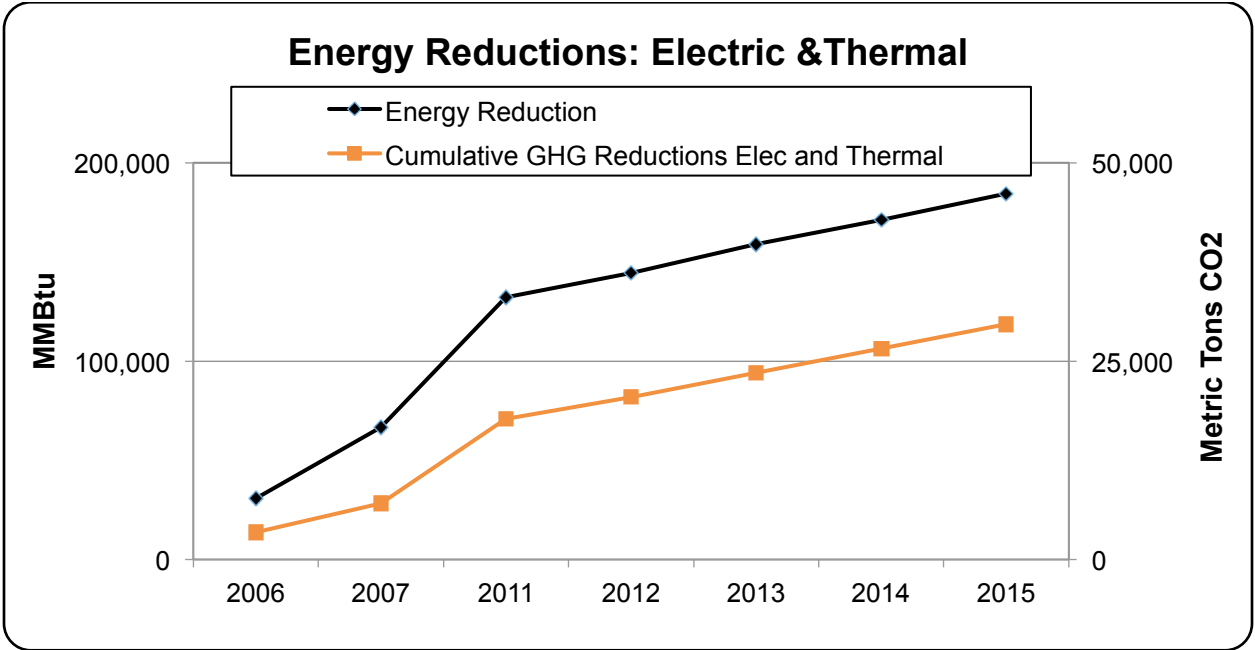
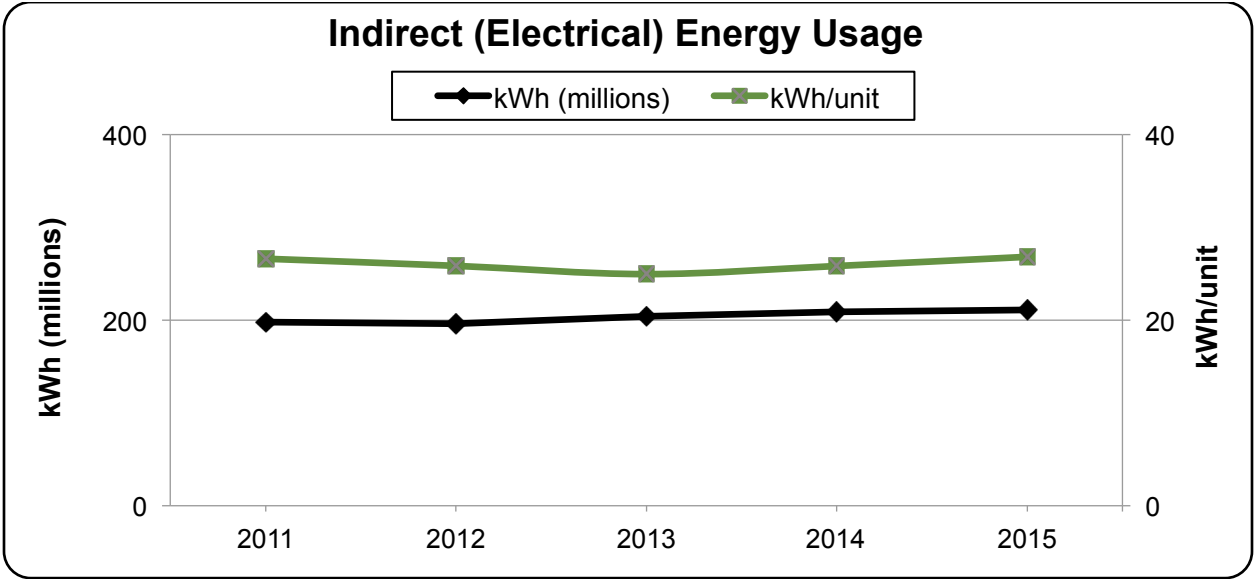
Energy

| REF. | DESCRIPTION | LINK | RESPONSE/NOTES | OMISSIONS |
|--------|---|------|-------------------------|-----------|
| G4-EN3 | Energy consumed within the organization | | Please see chart below. | |
| G4-EN5 | Energy intensity | | Please see chart below. | |
| G4-EN6 | Reduction of energy consumption | | Please see chart below. | |



Transportation accounts for 66 percent of direct energy and the remaining 34 percent is for heating.

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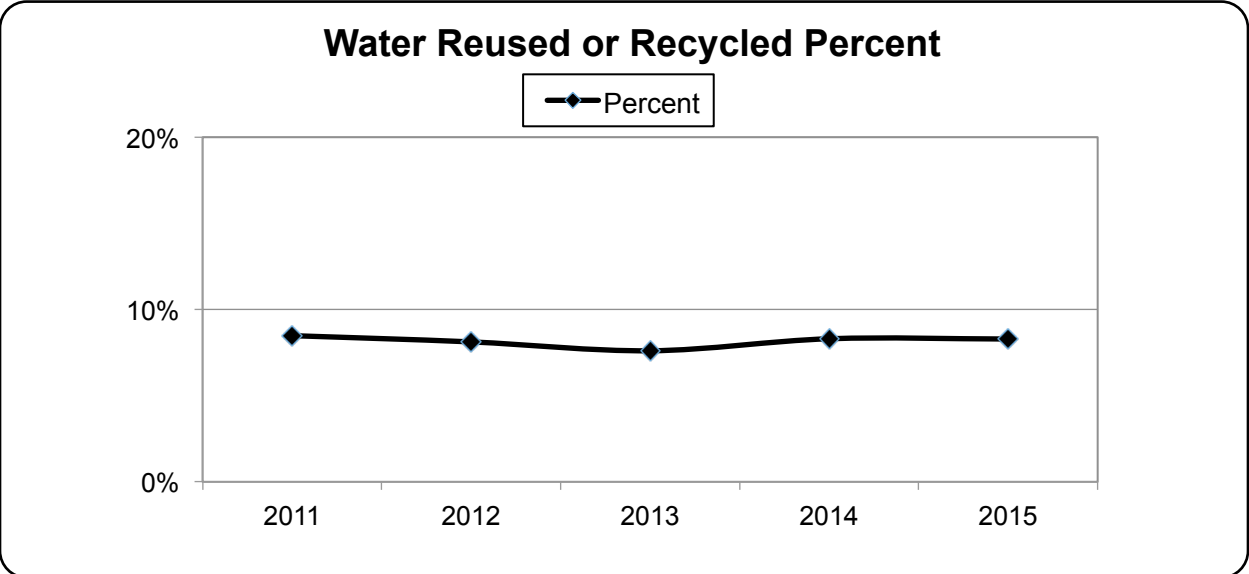
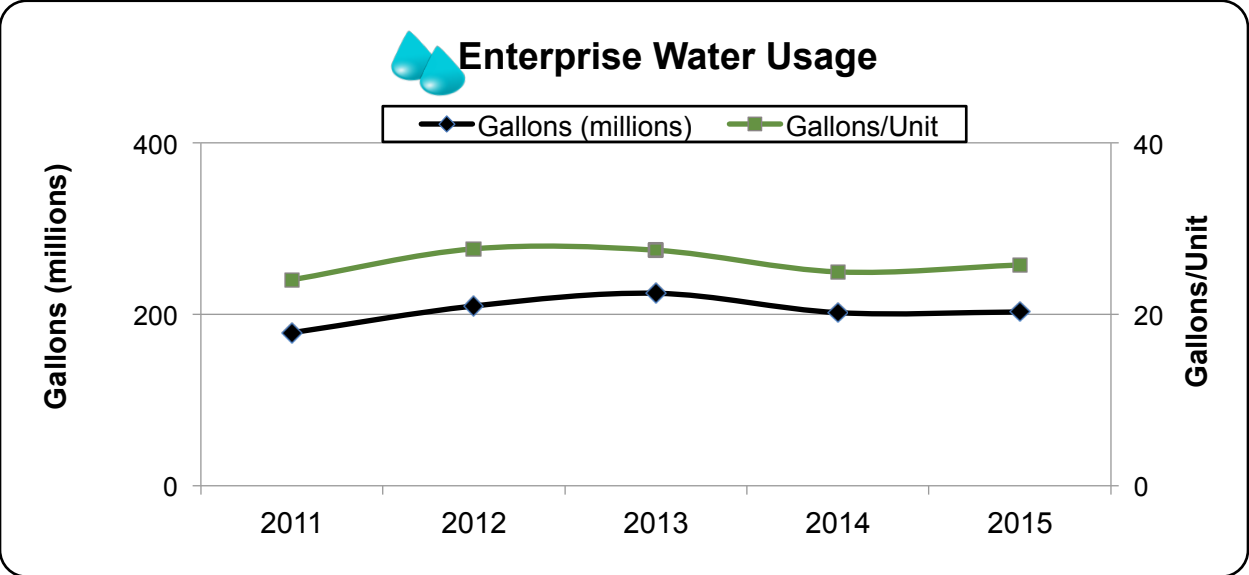


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Water

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|---------|---|--|--|--|
| G4-EN8 | Total water withdrawal by source | | Please see chart below. | |
| G4-EN9 | Water sources significantly affected by withdrawal of water | | Water usage includes on-site wells and municipal water supplied to facilities. Our Bayport, MN, facility is located adjacent to the St. Croix River. | |
| G4-EN10 | Percentage and total volume of water recycled and reused | | 8.29 percent of water is currently reused. All extruder process water systems use closed loop recirculating to minimize water use. | |

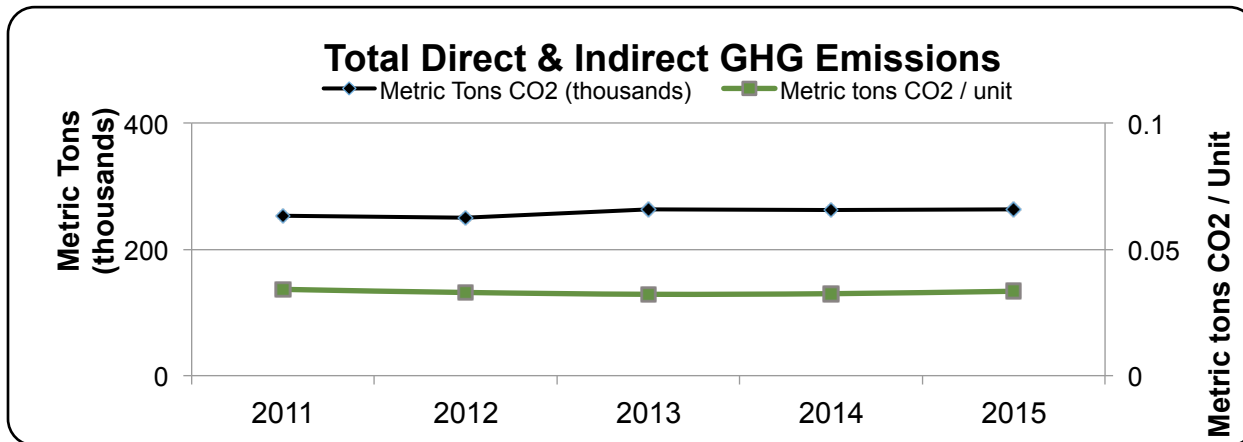
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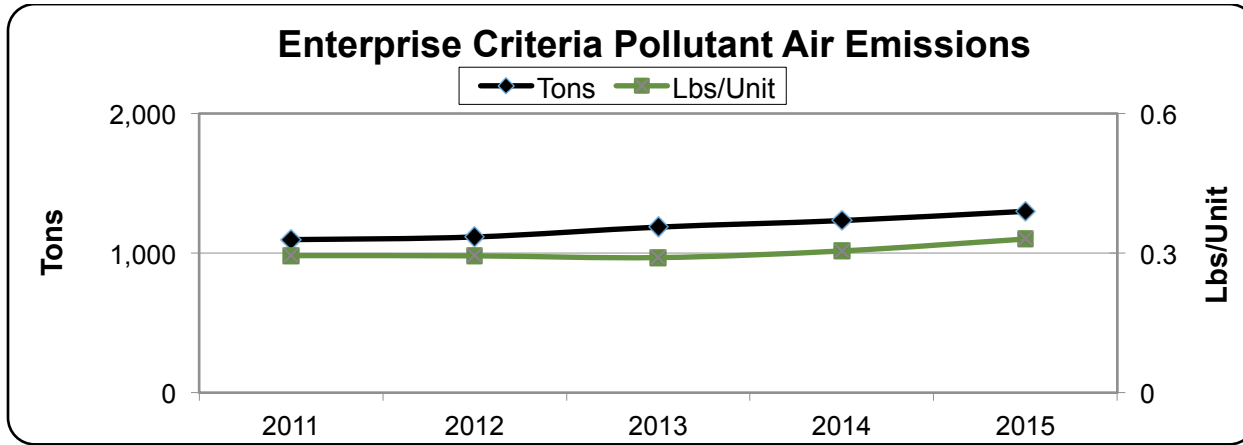
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Emissions

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| G4-EN15 | Direct GHG emissions (Scope 1) | | Please see chart below. | |
| G4-EN16 | Indirect GHG emissions (Scope 2) | | Please see chart below. | |
| G4-EN19 | GHG reductions | | Please see chart below. | |
| G4-EN21 | NOx, SOx and other significant air emissions | | Please see chart below. | |

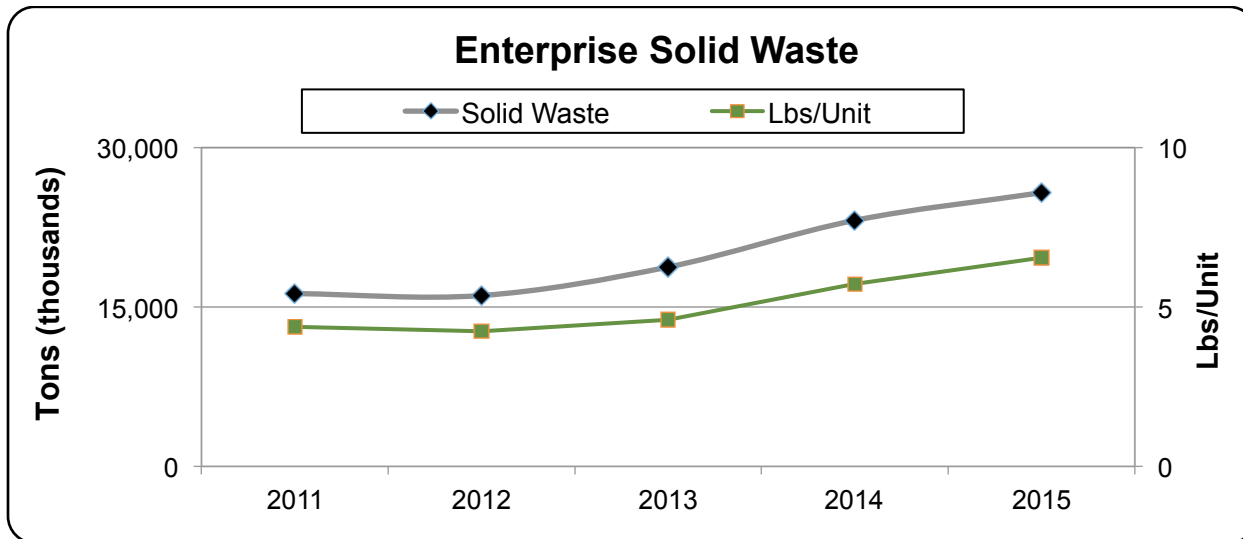


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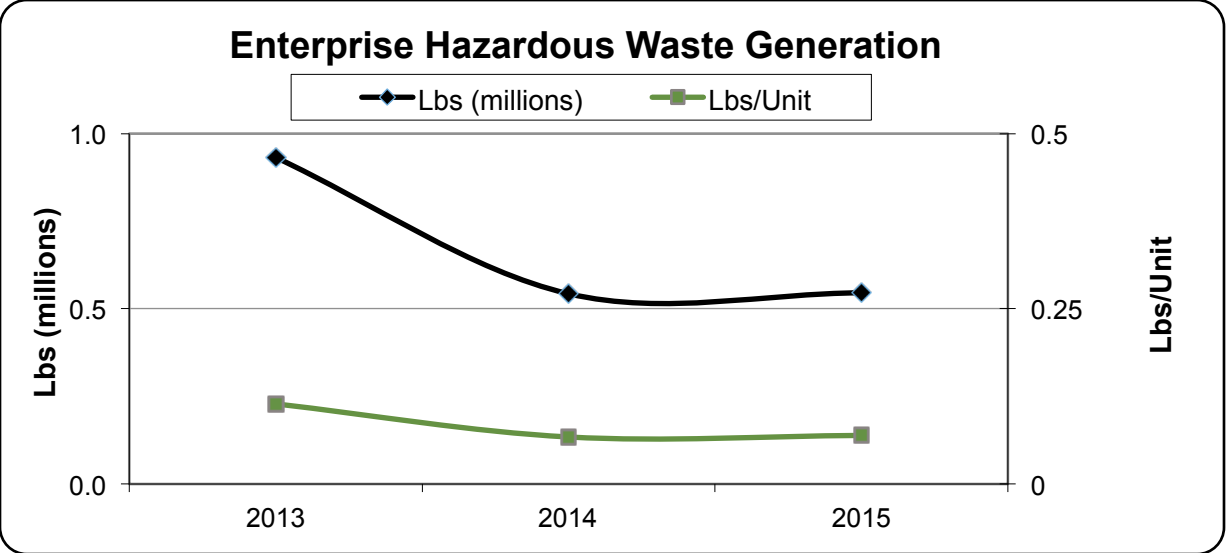


Effluents and Waste

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| G4-EN23 | Total weight of waste by type and disposal method | | Please see chart below. | |
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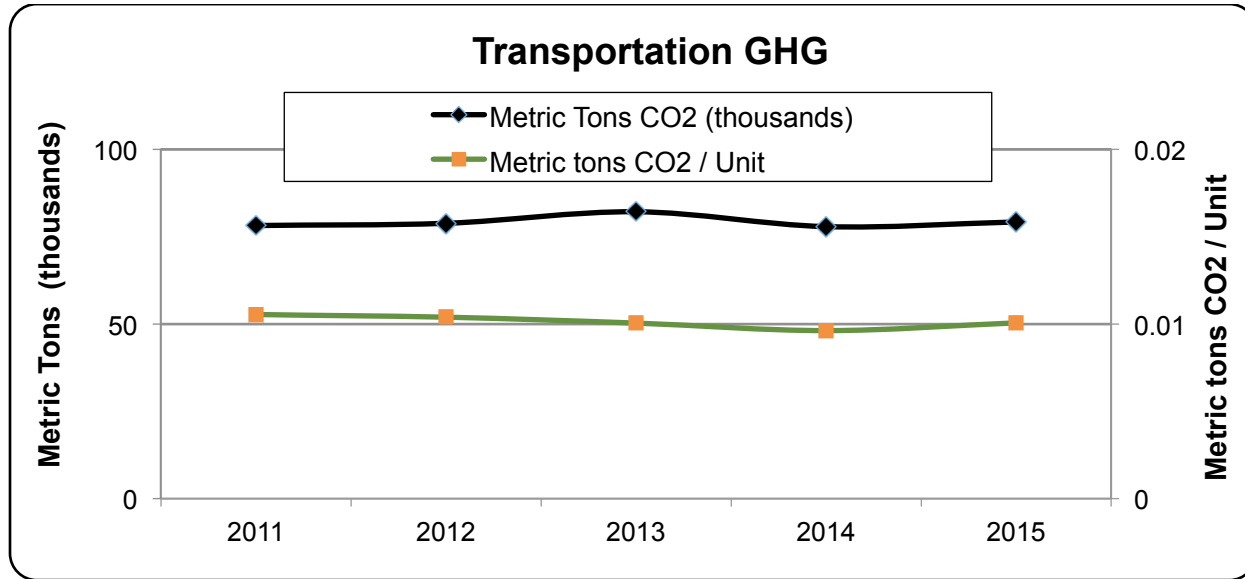
Compliance

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| G4-EN29 | Fines and sanctions for non-compliance with environmental laws and regulations | | <p>In July 2015, Custom Pultrusions, Inc. (CPI), a subsidiary of Andersen Corporation, reached an agreement with Ohio Environmental Protection Agency (EPA) to resolve regulatory issues with the state, including payment of \$71,200 as a penalty and \$17,800 as a contribution toward a supplemental environmental project. The issues involved inspection, recordkeeping and reporting requirements, as well as equipment design to minimize emissions from the facility’s fiberglass pultrusion lines. Andersen and CPI worked with EPA to design and implement improved emission systems. CPI has corrected all issues addressed in the agreement.</p> | |
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Transport

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| G4-EN30 | Significant environmental impacts of transporting products and other goods and materials | | Please see chart below. | |
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Products and Services

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| G4-EN27 | Extent of impact mitigation of environmental impacts of products and services | Link | | |
|---------|---|----------------------|--|--|

Category: Social

Employment

| REF. | DESCRIPTION | LINK | RESPONSE/NOTES | OMISSIONS |
|--------|--|----------------------|--|-----------|
| DMA | Disclosure of Management Approach | Link | | |
| G4-LA5 | Percentage of total workforce represented in formal joint management –worker health and safety committees that help monitor and advise on occupational health and safety | | More than 99 percent of our enterprise operations workforce is represented by site safety committees that engage in a collaborative effort with management and production, maintenance and warehousing associates to identify, evaluate, and control workplace hazards. Safety committee | |

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| | | | members participate in a variety of activities to promote and improve workplace safety, including site safety auditing to identify and address unsafe acts and conditions; attendance at regularly scheduled committee meetings; reviewing injury data in an effort to identify trends and determine corrective actions; and ongoing safety awareness communications regarding workplace hazards and procedures. | |
| G4-LA6 | Type of injury and rates of injury, occupational diseases, lost days and absenteeism, and total number of work-related fatalities, by region and by gender | | In 2015, our year-end enterprise incident rate was 3.18, and the enterprise lost work days rate was 38.42. There were zero work-related fatalities. | Our data collection systems do not currently allow us to track incidents by region or gender. |
| G4-LA7 | Workers with high incidence or high risk of diseases related to their occupation | Link | We are not aware of any workers with high incidence or high risk of diseases related to their occupation. Please see the Safety and Employee Health and Wellness sections of our report for more information about how we are working to decrease health risks for employees overall. | |
| G4-LA10 | Programs for skills management and lifelong learning that support the continued employability of employees and assist in managing career endings | | <p>Our Learning & Development programs include:</p> <p><i>Employee Development</i></p> <ul style="list-style-type: none"> • Talent Planning • Formalized Career Planning and Individual Development Planning • Career Ladder focused on building engineering career paths <p><i>Training</i></p> <ul style="list-style-type: none"> • Leadership Programming by level: Leadership by Andersen, Foundations and Explorations | |

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| | | | <ul style="list-style-type: none"> • Functional leadership forums • Skill-building workshops on change management, team-building, and coaching for leaders and employees • Ethics Education programs • Targeted performance consultation and training for specific employee groups • Orientation and onboarding programs for new employees. <p>We also offer outplacement and transition services to aid in career transition.</p> | |
| G4-LA12 | Composition of governance bodies and breakdown of employees per employee category according to gender, age group, minority and other diversity indicators where relevant | | Our workforce is approximately 33.5 percent minority and 66.5 percent non-minority; 29.1 percent female and 70.9 percent male; 3.1 percent reside in Canada and 96.9 percent reside in United States; 15% of employee population is under 30 years old, 47% is between 30 and 50, 38% is over 50. | |

SUB-CATEGORY: HUMAN RIGHTS

Child Labor

| | | | | |
|--------|-------------|--|---|--|
| G4-HR5 | Child labor | | Andersen upholds individual human rights in all of operations, and we expect the same from all of our business partners. This means providing reasonable working hours and fair wages, and promoting the health and safety of everyone who works on our behalf. We visit strategic material suppliers in our extended supply chain on an annual | |
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| | | | <p>basis to review working conditions, environmental and human resources practices.</p> <p>Andersen has a zero-tolerance policy for the use of child or forced labor or human trafficking practices. We will not knowingly do business with subcontractors, vendors or other business partners who violate these practices.</p> | |
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Forced or Compulsory Labor

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| G4-HR6 | Forced or compulsory labor | | Please see G4-HR5 above. | |
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Anti-corruption

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| G4-SO1 | Percentage of operations with implemented local community engagement, impact assessments and development programs | | <p>Formed in 1997, the Andersen Community Advisory Committee (CAC) provides an opportunity for Bayport, MN community members to regularly hear from Andersen on our manufacturing practices. The work of the CAC is based on a Stakeholder Involvement Plan. The CAC represents a broad base of stakeholders, including representatives of Bayport, Minnesota residents, businesses and City Council; Baytown Township residents and board; Andersen employees, Washington County (Minnesota) Commissioner; environmental groups; and at-large members.</p> | |
| G4-SO3 | Number of operations assessed for risks related to corruption | | <p>Annually, representatives from all Andersen business divisions and manufacturing sites are required to certify that there have been no known violations</p> | |

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| | | | of Andersen’s Code of Ethical Business Conduct. | |
| G4- SO4 | Communication and training on anti-corruption policies and procedures | Link | All Andersen employees who have network access are required to take an online training course that provides information about the company’s Code of Ethical Business Conduct, including information about how to prevent corruption. The Code is available on the company Intranet and is distributed to new employees as part of orientation. | |
| G4-SO5 | Confirmed incidents of corruption and actions taken | | None. | |
| G4-SO6 | Total value of political contributions by country and recipient/beneficiary | | Andersen does not donate funds to political parties or candidates. | |

SUB-CATEGORY: PRODUCT RESPONSIBILITY

| REF. | DESCRIPTION | LINK | RESPONSE/NOTES | OMISSIONS |
|--------|--|------|---|-----------|
| G4-PR1 | Products and services assessed for health and safety impacts | | Please see chart below. | |
| G4-PR5 | Results of surveys measuring customer satisfaction | | Customer satisfaction measures include interactive voice response (IVR) surveys presented to consumers calling our customer contact centers, online surveys presented on our parts store (parts.andersenwindows.com), in-home service customer surveys and extensive homeowner surveys presented to all Renewal by Andersen customers. Satisfaction with rep/in-home experience pertaining to Andersen service jobs – 95.3% (satisfied and very satisfied). Overall customer satisfaction – 90.6% (satisfied and very satisfied). | |
| G4-PR7 | Total number of incidents of non- | | Andersen Corporation is unaware of any | |

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|--------|--|--|--|--|
| | compliance with regulations and voluntary codes concerning marketing communications | | final determination entered against it of non-compliance with any applicable regulations or voluntary codes related to marketing communications. | |
| G4-PR9 | Monetary value of significant fines for non-compliance with laws and regulations concerning the provision and use of products and services | | Andersen Corporation is unaware of any significant fine for non-compliance with laws and regulations concerning the provision and use of our products and services, and therefore has no monetary value to report for this category. | |

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Products and Services Assessed for Health and Safety Impacts

| Life Cycle Stage | Assessed for Improvement | Processes | Estimated % of Product Portfolio (by Volume) |
|---------------------------------|--------------------------|---|---|
| Development of product concept | Yes | Product concepts are assessed during the Andersen Product Development Process (PDP) and Technology Gate Process. | 50% of portfolio has gone through PDP; 100% of new products go through PDP. |
| Certification | Yes | Products are tested by independent testing labs following industry standards and are certified by WDMA and NFRC. | 98% are certified. |
| Manufacturing and production | Yes | Health and safety practices are managed and continually improved as part of corporate and local Andersen safety procedures. | 100% are manufactured in a plant with safety procedures. |
| Marketing and Promotion | Yes | Marketing and promotional materials are reviewed by the Legal Department to confirm that the proper and safe use of the product is depicted when shown. | 100% of external marketing materials are required to be reviewed by the Legal Department to confirm that product performance and use is properly portrayed. |
| Storage distribution and supply | Yes | Health and safety practices are managed and continually improved as part of corporate and local Andersen safety procedures. | 100% are distributed from a facility with safety procedures. |
| Use and service | Yes | Products are assessed using the Andersen Product Review Methodology. | 50% of portfolio has gone through a task hazard analysis (THA); 100% of new products require a THA. |